#### JAPI Rec'd PCTIPTO 15 MAY 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#### Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER PO1452-US-00 (23685.0001) **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/012701 November 10, 2004 November 14, 2003 TITLE OF INVENTION HYDRODYNAMIC CLUTCH AND METHOD FOR INFLUENCING THE TORQUE THAT CAN BE PICKED UP APPLICANT(S) FOR DO/EO/US Voith Turbo GmbH & Co. KG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. **V** An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. l Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. l

#### IAP9 Rec'd PCT/PTO 15 MAY 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10	157943L	PCT/EP2004/012		PO1452-US-00 (2	
20. Other items or information: Return postcard. Amendment to the International Application under PCT Article 34.					
The following	fees have been submitted			CALCULATIONS	PTO USE ONLY
21. 🗹 Basic nati	onal fee (37 CFR 1.492(a))		\$300	\$ 300.00	
22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations				\$ 200.00	·
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$ 400.00		
TOTA	AL OF 21, 22 and 23 =			\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)					
35 <sub>- 100 =</sub> 0	/50 =		x \$250	<b>\$</b> 0	l
	for furnishing any of the search encement of the national stage		oath or declaration	\$ 0	
CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA RATE		\$		
Total claims	15 - 20 =	0	× \$50	\$ 0	
Independent claims	2 -3=	0	× \$200	\$ 0	
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)	0	+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 0	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					
SUBTOTAL =			\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 0		
TOTAL NATIONAL FEE =			\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 0		
TOTAL FEES ENCLOSED =			\$ 900.00		
				Amount to be refunded:	\$
				Amount to be charged	\$

# 10/579434 IAPP Rec'd PCT/PTO 15 MAY 2006 PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. A check in the amount of \$ 900.00 to 0	cover the above fees is enclosed.				
b. Please charge my Deposit Account No in the A duplicate copy of this sheet is enclosed.	e amount of \$ to cover the above fees.				
c. The Commissioner is hereby authorized to charge any addit Account No. $\underline{09\text{-}0007}$ . A duplicate copy of this sheet is	ional fees which may be required, or credit any overpayment to Deposit enclosed.				
d. Fees are to be charged to a credit card. WARNING: Information a be included on this form. Provide credit card information a	ation on this form may become public. Credit card information should not and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:	SIGNATURE Homer W. Faucett, III  NAME 56,348  REGISTRATION NUMBER				



### 10/579434 IAP9Rec'dPCT/PTO 15 MAY 2006

May 15, 2006

Writer's Direct Number: (317) 236-2120 Internet: Homer.Faucett@icemiller.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail labe	l number:	EV 085623128 US
Date of Deposit:	May 1	5, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Patent Application of:

For:

MENNE, Achim and HOELLER, Heinz

HYDRODYNAMIC CLUTCH AND METHOD FOR INFLUENCING THE

TORQUE THAT CAN BE PICKED UP BY

THE HYDRODYNAMIC CLUTCH

Our File No.:

P01452-US-00 (23685.0001)

Sir:

Transmitted herewith for filing is a patent application of Achim Menne and Heinz Hoeller for HYDRODYNAMIC CLUTCH AND METHOD FOR INFLUENCING THE TORQUE THAT CAN BE PICKED UP BY THE HYDRODYNAMIC CLUTCH.

One check in the amount of \$900.00 is enclosed. Please charge any deficiency in fees or credit any overpayment to Account No. 09-0007. Please contact me if you have any changes.

Respectfully submitted,

Form W. Fancoto

Homer W. Faucett, III

Attorney Registration No. 56,348

ICE MILLER LLP

One American Square, Suite 3100 Indianapolis, Indiana 46282-0200

Telephone: (317) 236-2120

HWF:njt

Enclosures: A copy of the International Application as filed (with corresponding English

translation thereof)

Transmittal letter to the United States designated/elected office concerning a

submission under 35 U.S.C. 371

**Preliminary Amendment** 

Amendments to the claims of the International Application (with

corresponding English translation thereof)

International Search Report and Opinion (with corresponding English

translation of the opinion)

Information Disclosure Statement

Copies of foreign patents listed on the Information Disclosure Statement

Check in the amount of \$900.00

Return Postcard

cc: Richard A. Schnurr

## IAPT REC' DPCTIPTO 15 MAY 2006

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SURMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER PO1452-US-00 (23685.0001)

**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIOF PCT/EP2004/012701 November 10, 2004 November 14, 2003 TITLE OF INVENTION HYDRODYNAMIC CLUTCH AND METHOD FOR INFLUENCING THE TORQUE THAT CAN BE PICKED UP APPLICANT(S) FOR DO/EO/US Voith Turbo GmbH & Co. KG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 6 The US has been elected (Article 31). 1 A copy of the International Application (35 U.S.C. 371(c)(2)) a. 🗹 is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. V An English language translation of the International Application (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4),

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3

# IAP20 Rec'd PORTO 15 MAY 2006

PTC-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (21	•	INTERNATIONAL APP	LICATION NO.	ATTORNEY'S DOC	KET NUMBER
¶n/5791/34	0/579434 PCT/EP2004/012701		PO1452-US-00 (23685.0001)		
_ 10/)/					
20. Uther items or information:					
Return postcard; copies of fo	eign prio	or art (2);			
Amendment to the Internation	nal Appli	cation under PCT Artic	ele 34;		
International Search Report a	nd Opinio	on (and English transla	tion)		
The following fees have been sub	mitted	<del>,</del>		CALCULATIONS	PTO USE ONLY
21.  Basic national fee (37 CFR 1.4			\$300	\$ 300.00	,
		· · · · · · · · · · · · · · · · · · ·			
22. Examination fee (37 CFR 1.492	(c))				
If the written opinion prepared by ISA/US o	the interna	itional preliminary examination	on report prepared	\$	
by IPEA/US indicates all claims sat	sfy provision	ons of PCT Article 33(1)-(4)	\$0		į.
All other situations			\$200		<del> </del>
23. Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the In IPEA/US indicates all claims satisfy					
Search fee (37 CFR 1.445(a)(2)) has been	paid on the	international application to t	ne USPTO as an	\$	
International Searching Authority			\$100		
International Search Report prepared by ar	by the IB	than the US and provided to	the Office of		
	previously communicated to the US by the IB\$400 All other situations\$500				
TOTAL OF 21, 22 and 23	=			\$300.00	
Additional fee for specification and draw		n paper over 100 sheets (ex	cluding		
sequence listing in compliance with	37 CFR 1.8				
electronic medium) (37 CFR 1.492) The fee is \$250 for each additional		f paper or fraction thereof.			
		Iditional 50 or fraction to a whole number)	RATE		ľ
<del></del>	i (loullu up	to a whole humber)		- 0	
35 - 100 = 0 /50 =	/50 = x \$250			<b>\$</b> 0	
Surcharge of \$130.00 for furnishing any of			eath or declaration	\$ 0	
after the date of commencement of the nati	onal stage	(37 CFR 1.492(h)).			
CLAIMS NUMBER FILE	D	NUMBER EXTRA	RATE	\$	
Total claims 15	- 20 =	0	× \$50	\$ 0	
Independent claims 2	-3=	0	× \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if app	icable)	0	+ \$360	\$ 0	
	· · · · ·	TOTAL OF ABOVE	CALCULATIONS =	\$ 0	1
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				<u> </u>	
			* 200.00	<del> </del>	
SUBTOTAL =			\$ 300.00	L	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 0		
TOTAL NATIONAL FEE =			\$ 300.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied					
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 0		
TOTAL FEES ENCLOSED =			\$ see below		
			Amount to be	\$	
		· · · · · · · · · · · · · · · · · · ·		refunded: Amount to be	
		·		charged	\$ 300.00

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		AP20 Rec'd PCT/PTO 15 MAY 2006				
а. 📖	A check in the amount of \$	_ to cover the above rees is the horizontal and the second				
b. 🗸	Please charge my Deposit Account No. <u>09-0007</u> in the amount of \$ <u>300.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.					
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
		,				
	LL CORRESPONDENCE TO:	SIGNATURE				
Homer W. Faucett III		Alexander D. Forman				
ICE MILLER LLP		NAME				
One American Square, Suite 3100		51,691				
Indianapolis, IN 46282-0200		REGISTRATION NUMBER				



10/579434

## IAP20 Rec'd FCT/FTO 15 MAY 2006

May 15, 2006

Writer's Direct Number: (317) 236-5826 Internet: Forman@icemiller.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail labe	l number:	EV 085787943 US

Date of Deposit: May 15, 2006

Mail Stop: PCT

Commissioner for Patents

P.O. Box 1450 ·

Alexandria, VA 22313-1450

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Box Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Alexander D. Forman

(Name of person mailing paper or fee)

Signature

Re:

Entry Into the U.S. National Stage

Of International App. Number PCT/EP2004/012701

International Filing Date:

November 10, 2004

Inventors:

MENNE, Achim and HOELLER, Heinz

Title:

HYDRODYNAMIC CLUTCH AND METHOD FOR

INFLUENCING THE TORQUE THAT CAN BE

DIGITED IN DIVITIE HINDRODIALLIA CHUTCH

PICKED UP BY THE HYDRODYNAMIC CLUTCH

Our File No.:

P01452-US-00 (23685.0001)

Sir:

Transmitted herewith for filing is a patent application of Achim Menne and Heinz Hoeller for HYDRODYNAMIC CLUTCH AND METHOD FOR INFLUENCING THE TORQUE THAT CAN BE PICKED UP BY THE HYDRODYNAMIC CLUTCH.

Commissioner for Patents

May 15, 2006

10/579434 Patent Application of: MENNE, Achim and HOELLER, Heinz
Page 2

APZURec'd FULL 15 MAY 2006

Please charge the \$300.00 basic national fee under 37 CFR 1.492(a) to Deposit Account Number 09-0007. Please contact the undersigned if you have any further information is needed.

Respectfully submitted,

ander D. Forman

Attorney Registration No. 51,691

ICE MILLER LLP

One American Square, Suite 3100 Indianapolis, Indiana 46282-0200

Telephone: (317) 236-2120 Facsimile: (317) 592-5453

HWF:njt

Enclosures:

A copy of the International Application as published (with corresponding

English translation thereof)

Transmittal letter to the United States designated/elected office concerning a

submission under 35 U.S.C. 371 (duplicate copy of page 3)

Preliminary Amendment

Amendments to the claims of the International Application (with

corresponding English translation thereof)

International Search Report and Opinion (with corresponding English

translation of the opinion)

Information Disclosure Statement

Copies of foreign patents listed on the Information Disclosure Statement

Return Postcard